

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Re:

Inventor(s):

Michael R. Rice, Robert B. Lowrance, Martin R. Elliott, Jeffrey C. Hudgens and Eric A. Englhardt

Title:

METHODS AND APPARATUS FOR TRANSPORTING SUBSTRATE CARRIERS

Serial No .:

10/764,982

Filed: Examiner: January 26, 2004 Mark A. Deuble

Group Art Unit: 3651

Transmitted herewith is:

PTO Form 1449 and Information Disclosure Statement with eight cited references. <u>X</u>

Transmittal and Fax Cover Sheet. X

FEE CALCULATION										
Fee Items	Claims Filed	Included With Basic Fee	Extra Claims	Fee Rate	Total					
Total Claims	N/A	- 20 =	-0-	X \$18.00	\$0.00					
Independent Claims	N/A	3 =	-0-	X \$88.00	\$0.00					
Basic Filing Fee \$790.00										
TOTAL FEES										

The Commissioner is hereby authorized to charge \$0.00 to Deposit Account No. 04-1696.

XXThe Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 04-1696. A duplicate copy of this transmittal is enclosed.

<u>XX</u> Please address all future correspondence to: **Customer # 41161** Dugan & Dugan, PC 55 South Broadway Tarrytown, NY 10591

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

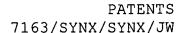
Date of Deposit: April 19, 2005

Signature:

Respectfully submitted,

Brian M. Dugan Registration No. 41,720

(914) 332-9081



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Michael R. Rice, Robert B. Lowrance, Martin

R. Elliott, Jeffrey C. Hudgens and Eric A.

Englhardt

Serial No. : 10/764,982

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For : METHODS AND APPARATUS FOR TRANSPORTING

SUBSTRATE CARRIERS

Group Art Unit: 3651

Customer No. : 41161

Mail Stop Amendment Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.56 and 1.97, applicants wish to call the attention of the Examiner to the following references:

- U.S. Patent No. 4,401,522, Buschow et al.
- U.S. Patent No. 4,534,843, Hirbour et al.
- U.S. Patent No. 4,540,088, Bergh
- U.S. Patent No. 4,650,264, Dahnert
- U.S. Patent No. 4,775,046, Gramarossa et al.
- U.S. Patent No. 6,235,634, Law et al.

U.S. Publication No. 2002/090282, Bachrach

U.S. Publication No. 2003/010449, Gramarossa et al.

These references are also listed on the accompanying Information Disclosure Statement (Form PTO-1449).

Consideration of the foregoing in relation to this patent application is respectfully requested.

Respectfully Submitted,

Brian M. Dugan, Esq. Registration No. 41,720

Dugan & Dugan, PC

Attorneys for Applicants

(914) 332-9081

Dated: April 19, 2005

Tarrytown, New York

Sheet 1 of 1 sheets					Docket No.: Serial No.:						
U.S. Department of Commerce, Patent and Trademark Office				7163/SYNX/SYNX/JW			10/764,982				
PIST OF RELEVANT ART CITED BY APPLICANT					Applicants:						
(Use several sheets if necessary)					Michael R. Rice, et al						
(a) 1 1 2000 (ii)					Filing Date:			Group:			
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Examine	<u> </u>	Document	Issue	T						Date If	
Initial		Number	Date	Name		Class	+	Subclass	Appr	Appropriate	
	US-1	4,401,522	08/30/83	Buschow et al.							
	US-2	4,534,843	08/13/85	Hirbour et al.							
	US-3	4,540,088	09/10/85	Bergh							
	US-4	4,650,264	03/17/87	Dahnert						<u></u>	
	US-5	4,775,046	10/04/88	Gramarossa et al.							
	US-6	6,235,634	05/22/01	Law et al.							
	US-7	2002/090282	07/11/02	Bachrach							
	US-8	2003/010449	01/16/03	Gramarossa et al.							
	US-9										
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Fore	ign Paten	t Documents							Tı	ranslation	
		Document Number	Date	Count	ry	Class	Su	bclass	Yes	No	
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